

04-13-04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Keith Edwin CURTIS, et al.

Title

:

Virtual Eprom Simulator Apparatus

Application No.

09/720,570

Filed

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Dec. 21, 2000

PCT No.

:

PCT/AU99/00511

Int. Filing Date

June 24, 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 pursuant to 37 CFR 1.10 on the date

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EU 825077665 US

Express Mail Receipt No. _ Name: Jean A. Burns

Signature:

Mail Stop: Petitions Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Renewed Petition Under 37 CFR § 1.47(b)

To the Commissioner for Patents:

Applicant responds to the Decision on Petitions Under 37 C.F.R. 1.47(b) and 37 C.F.R. 1.137(b) issued on February 12, 2004 for the above-captioned patent application. The requirements of the Petition, which have been previously satisfied, are incorporated herein by reference (a copy of the previous submission is attached). The Examining Attorney has accepted the necessary requirements of the Petition Under 37 C.F.R. 1.47(b) with the exception of the showing of Applicant's sufficient proprietary interest, due to the fact that the assignment submitted was illegible.

Applicant is not able to provide a clearer copy of the assignment, and requests that the Examining Attorney review the records of the Patent Office – Assignments Division to locate a better copy. The assignment was recorded on 6/24/1998 at reel/frame 9296/0055.

It is believed that no other fees are due with this petition. However, if any other fees are a due with this paper, the Commissioner is hereby authorized to charge such fee(s) to Deposit Account No. 50-0792.

Please direct any inquiries regarding this petition to the Applicant's undersigned attorney, who can be reached directly by telephone at (303) 298-5718.

Favorable consideration is respectfully solicited.

Respectfully submitted,

Kendall I. Thiessen Reg. No. 45,158

Attorney Docket No.: 04560-00011

Gibson, Dunn & Crutcher LLP 1801 California Street, Suite 4100 Denver, CO 80202

Telephone: 303/298-5700 Facsimile: 303/296-5310



ATES PATENT AND TRADEMARK OFFICE

Inventor

Keith Edwin CURTIS, et al.

Title

Virtual Eprom Simulator Apparatus

Application No.

09/720,570

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Express Mail Receipt No. __ Jean A. Burns

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Date:

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Alexandria, VA 22313-1450

Renewed Petition Under 37 CFR § 1.137(b)

To the Commissioner for Patents:

The above-referenced national stage application became abandoned for failure to submit an acceptable oath or declaration within the time period set forth in the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (Form PCT/DO/EO/905) mailed on March 12, 2001. On July 23, 2002, Applicant filed a "Petition to Revive an Abandoned Application under 37 CFR 1.137(b)" and "Response to Notification of Missing Requirements under 35 U.S.C. 371" and "petition under 37 CFR 1.183 to Suspend the Rules." Applicant's petition under 37 CFR 1.137(b) and applicant's petition under 37 CFR 1.183 were both dismissed without prejudice. On July 28, 2003, Applicant filed a

renewed "Petition to Revive an Abandoned Application under 37 CFR 1.137(b)" along with a "Petition under 37 CFR 1.47(b)."

Examiner rejected the petition to revive based on a finding that item (1) of 1.137(b) had not been satisfied. Specifically, the examiner found that a more detailed explanation of the delay was required before the petition could be granted. Applicant respectfully disagrees with this determination.

It is respectfully noted applicant's petition for revival of the current application pursuant to 37 CFR 1.137(b) should be reviewed at a less burdensome standard than a petition to revive filed pursuant to 37 CFR 1.137(a). See MPEP §711.03(c) (Rev. 1, Feb. 2003) Although the Manual of Patent Examining Procedure (MPEP) does not set forth a specific standard of review for petitions filed under 37 CFR 1.137(b), the MPEP does indicate that "Petitions under 37 CFR 1.137(b) are less burdensome (statement(s) rather than a showing accompanied by documentary evidence) to file and are evaluated under the less stringent "unintentional delay standard." Id. In addition, it has been similarly adjudicated that a petition filed under 37 CFR 1.137(b) was intended to provide for "a much less strict standard" than had otherwise been available. See. In re Bryan, 2 USPQ2d 1215, 1218 (Dep. Ass't Comm'r 1986).

Furthermore, it is noted that during the promulgation of the provisions of 37 CFR

1.137(b) first providing for the ability to revive an application based on unintentional abandonment, in response to comments to the proposed rules, Gerald J. Mossinghoff, then Commissioner for of Patents and Trademarks discussed what was intended in the statement in §

1.137(b) that the Commissioner may require additional information where there is question whether the abandonment was unintentional. The Commissioner responded that, "Additional

information would be required only where there is an indication that the abandonment was intentional, for example, where an express abandonment had been filed. The record should be clear how such an express abandonment was unintentional if the petition is to be granted." 47 FR 33086, 33094 (1982)

It is also respectfully noted that the applicant's petition to revive the current application pursuant to 37 CFR 1.137(b) should <u>not</u> be reviewed with a requirement that there was an exercise of due care and diligence. In response to comments to proposed changes to 37 CFR 1.137(b) to remove the filing period requirements, Bruce A. Lehman, then Assistant Secretary of Commerce and Commissioner of Patents and Trademarks replied that "unintentional delay does not require that the delay have occurred despite the exercise of due care and diligence (as does "unavoidable" delay), the Office does not routinely require a "showing" of unintentional delay for a petition under § 1.137(b)." 62 FR 5312, 53161 (1997).

In the interest of full disclosure, however, Applicant has requested a detailed summary of all facts concerning each of the individuals that were in some way involved with the prosecution of these files. Specifically, Applicants have included declaration from (i) Shahan Islam, Reg. No. 32,507, who was the attorney responsible for this application; (ii) Cheryl Bajana, a legal secretary that worked with Mr. Islam and was responsible for opening and managing mail from the USPTO; (iii) Samson Helfgott, Reg. No. 23,072, a colleague of Mr. Islam who was aware of many of the technical details concerning the docketing database as well as the facts that led to the discovery of the inadvertent abandonment of this application; and (iv) Satnarine Seonarain, a docketing clerk with the law firm of Rosenman & Colin, LLP, who provided screenshots and images of the docketing entries (or lack thereof) concerning the abandonment of this application.

As these declarations provide, Mr. Islam does not recall being notified of the outstanding notice of missing parts or the notice of abandonment. Indeed, the notice of abandonment could not even be found in the file that had been set up for this application. (Decl. of Shahan, para. 8) This docketing oversight led to the initial abandonment of the application which was not discovered on June, 2002. (Decl. of Shahan, para.12). This petition was rejected as a result of a failure to reply to the outstanding office action. The decision on the petition, however, was not properly docketed as a result of a lack of experience by Mr. Islam's secretary and was not brought to the attention of Mr. Islam. Throughout this period, however, "it was never [Mr. Islam's] intention to abandon this application, nor was it the intention of the applicant, and [he] continuously worked on the inventorship issue in order to provide the necessary information to the USPTO." (Decl. of Shahan, para. 18) This issues were complicated by law firm mergers, technology integration issues, and docketing management challenges throughout the period. (Decl. of Seonarain, para. 4-6) These facts, as well as the supporting documents provided as exhibits to each of these declarations.

It is believed that applicant's initial petition filed on July 28, 2003, this renewed petition, and the facts set forth in each of the declarations (and documented, in part, with the attached exhibits) clearly illustrate that there was no deliberate or express action taken to abandon the current application. It is believed that the facts as set forth in the most recent petition filed on July 28, 2003 and in this request for reconsideration demonstrates that the abandonment of the current application was wholly unintentional. Thus, it is respectfully requested that applicant's request for reconsideration of its petition to revive the current application due to unintentional abandonment, pursuant to 37 CFR 1.137(b), be granted and the current application be revived and returned to examination.

Applicant is also enclosing herewith the requested documentation concerning the Petition Under 37 CFR 1.47(b) in reply to the Notification of Missing Requirements Under 35 U.S.C. 371. Specifically, the Examiner found that (2) of 1.47(b) was not satisfied as a result of the failure to include any documentary evidence concerning the attempts to locate the inventors. In response, the Applicant has again included a copy of the mailing receipts that demonstrate the failure of delivery and has further included a signed declaration from Mr. Islam concerning these attempts to locate the inventor. (Decl. of Islam, para. 9, 12) Unfortunately, documentary evidence concerning the internet searches and telephone inquiries concerning this search is not available as Mr. Islam did not retain any documents or screen prints concerning these searches. As such, Mr. Islam's declaration represents the best documents available to the Applicant's concerning these statements.

The examiner also requested a clean copy of the assignment as a result of the fact that the first copy was not legible. A clean(er) copy of the identical assignment (signed by the same inventor on a related application) is attached hereto to assist the examiner in reading the assignment of the instant application. As is clear, the assignment demonstrates the applicant's proprietary interest in the provisional application as well as includes an assignment of "the United States application [on such invention], and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted on any of the same and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country....

It is respectfully requested that the current application be revived pursuant to this renewed petition and that the enclosed Petition Under 37 CFR 1.47(b) entered and considered for the above-referenced application.

A check and petition for a one-month extension is included with these materials. It is believed that no other fees are due with this petition. However, if any other fees are a due with this paper, the Commissioner is hereby authorized to charge such fee(s) to Deposit Account No. 50-0792.

Please direct any inquiries regarding this petition to the Applicant's undersigned attorney, who can be reached directly by telephone at (303) 298-5718.

Favorable consideration is respectfully solicited.

Respectfully submitted,

Kendall I. Thiessen Reg. No. 45,158

Attorney Docket No.: 04560-00011

Gibson, Dunn & Crutcher LLP 1801 California Street, Suite 4100 Denver, CO 80202

Telephone: 303/298-5700 Facsimile: 303/296-5310





575 Madison Avenue New York, NY 10022-2585 212.940.8800 office 212.940.8776 fax

October 29, 2002

EV105567285US

SHAHAN ISLAM shahan.islam@kmzr.com 212.940.8564

VIA EXPRESS MAIL

Mr. Keith Curtis 138 Park Ridge Lane Henderson, Nevada 89015

Re:

U.S. Patent Serial No. 09/720,570

Filed: December 21, 2000

For: Virtual Eprom Simulator Apparatus Our Ref.: 3128/FBR (031035-87558)

Dear Keith:

Hi, it has been a long time since I have seen you or anybody else at the former Nugame.

As you will hopefully recall, I helped draft and supervised the drafting of the original priority U.S. Provisional Application which was assigned along with foreign counterparts of the application to Aristocrat. I also met you very briefly when I visited Nugame's (back then) office, although we talked on the phone and faxed drafts back and forth.

As the application which we filed was a "provisional" patent application, no Declaration and Power of Attorney was necessary and was not filed. However, this application was assigned by you to Nugame, which, in turn, assigned it to Aristocrat Leisure Industries, which later changed its name to Aristocrat Technologies Australia Pty Ltd.

Subsequently, a PCT Application was filed by applicant Aristocrat Technologies Australia Pty Ltd.

As you know, sometime after the assignment of the provisional application from Nugame to Aristocrat, Nugame closed its doors and is now defunct, with no traceable phone number or office - although, I believe, Mike Farnham, the former CEO is still in Las Vegas.

I have been trying extensively to find you through searches in web databases, calls to the telephone company and directory assistance, as well as calling Farnham, who advised me that he is not aware of the whereabouts of the inventors.

However, just on the chance that I MAY find you, I am enclosing with this letter, a Declaration and Power of Attorneys for the U.S. Application (enclosed) which represents the "national phase" entry of the PCT application.

We need to have you sign and date the Declaration on page 2 and return it to us in the enclosed.

Chicago

Vew York

Los Angeles

Washington, DC

Charlotte

Palo Atto

Newarl

www.kmzr.com



Mr. Keith Curtis October 29, 2002 Page 2

self-addressed, Federal Express envelope. (For convenience, you may call 1-800-FED EX to schedule someone from Federal Express to come and get it.)

Please call me if you have any questions and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sinceref

Shahan Islam

Enc: Copy of Patent Application

Declaration and Power of Attorney

Self-addressed Federal Express envelope

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Date: 07/08/2003

Fax Transmission To: LINDA CHAN

Fax Number: 212-894-5712

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575 Madison Avenue New York, NY 10022-2585 212.940.8800 office 212.940.8776 fax

> SHAHAN ISLAM shahan.islam@kmzr.com 212.940.8564

October 29, 2002

EV105567294US

VIA EXPRESS MAIL

Mr. Eugene Bond 6329 Lena King Avenue Las Vegas, Nevada 89120

Re:

U.S. Patent Serial No. 09/720,570

Filed: December 21, 2000

For: Virtual Eprom Simulator Apparatus Our Ref.: 3128/FBR (031035-87558)

Dear Eugene:

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Mr. Eugene Bond October 29, 2002 Page 2

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Sincerely

Shahan Islam

Enc:

Copy of Patent Application

Declaration and Power of Attorney

Self-addressed Federal Express envelope

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Fax Number: 212-894-5712

Dear LINDA CHAN:

The following is in response to your 07/08/2003 request for delivery information on your Express Mail item number EV105567294US. The delivery record shows that this item was delivered on 11/01/2002 at 09:34 AM in LAS VEGAS, NV 89122 to E BOND. The scanned image of the recipient information is provided below.

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BARBARA A. BOND

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Sincerely,

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PETITION FOR EXTENSION OF TI			et Number (Optional) 60-00011		
	In re Application of	Curtis			
	Application Number	09/720,57	0 F	iled	12/21/00
	For Virtual Epro	om Simulato	r Apparatu	ıs	
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Three months (37 CFR 1.17	(a)(3))				\$ <u>950.00</u>
Four months (37 CFR 1.17)	a)(4))				\$ <u>1,480.00</u>
Five months (37 CFR 1.17(a	1)(5))				\$ <u>2,010.00</u>
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PETITION FOR EXTENSION OF TI	ME			Docket Number (Op 04560-00011	tional)	
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Four months (37 CFR 1.17(a	a)(4))				\$ <u>1,480.00</u>	
Five months (37 CFR 1.17(a)(5))				\$ <u>2,010.00</u>	
Applicant claims small entity status. See 37 CFR 1.27. Therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$						
X A check in the amount of the fee is	enclosed.					
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The Commissioner has already been authorized to charge fees in this application to a Deposit Account.						
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I am the applicant/inventor		•				
assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).						
X attorney or agent of reco	ord.					
attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a)						
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<u>December 23, 2003</u>						
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Ser. No.: 09/720,570

Page 1 of 5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

: Keith Edwin Curtis, et al.

Title

VIRTUAL EPROM SIMULATOR APPARATUS

Serial No.

09/720,570

Filed

December 21, 2000

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Declaration of Shahan Islam

I, Shahan Islam, hereby declare as follows:

- 1. I am a registered patent attorney in the United States Patent and Trademark Office under registration no. 32,507.
- 2. In 1998, I was a partner in the law firm of Friedman Siegelbaum handling intellectual property matters. Through an Australian associate, F.B. Rice & Company, with whom I had been working, I was put in contact with an Australian client, Aristocrat Leisure Industries Pty. Ltd. (which later changed its name to Aristocrat Technologies Australia Pty Limited) (hereinafter "Aristocrat"), who had requested that I prepare provisional applications for filing in the United States Patent and Trademark Office in connection with technology that they acquired from a company, Nugame, Inc. (hereinafter "Nugame"), located in the United States. In preparing the provisional applications I was in contact with a number of individuals who were former employees of Nugame and who had invented the technology. A provisional application was filed in the United States Patent Office on June 24, 1998. Copies of the application were forwarded to F. B. Rice.
- 3. At the beginning of 1999, I was in communication with F.B. Rice in connection with this case and they advised me that they were instructed by Aristocrat to file a PCT application in Australia, designating the United States. As the inventors were not available to F.B. Rice, they filed the application in Australia advising the Australian receiving office that the inventors were unavailable to sign the powers and the application was given a filing date.
- 4. Around April, 2000, I left the firm of Friedman Seigelbaum and began working as a partner with the firm of Rosenman & Colin LLP. At the time, Rosenman & Colin LLP did not have an intellectual property department. Thus, I had to establish my own routines with very limited

Ser. No.: 09/720,570 Page 3 of 5

attorneys. They apparently had a computerized docketing system. They were very busy at the beginning transferring all of their work and maintaining their clients and were not readily available to address my needs. Although as a partner I retained responsibility for my own clients with respect to their patent matters, once they got their docketing system set up at Rosenman & Colin LLP, they indicated that they would be available to enter my docketing into their computerized system. Prior to this time, I would occasionally request that specific matters be docketed. I do not recall requesting any such special docketing for this application. Once the docketing system was set up at Rosenman & Colin LLP, as new matters came to my secretary for docketing, she would docket it in my manual docketing calendar, and was instructed to then submit the information to the docketing clerk for entry into the computerized docketing system. The docketing clerk would then enter the bibliographic information for the application as well as the docketing information, in the computerized system. Because of the volume of work, we only proceeded on a going forward basis. Accordingly, as my secretary received new correspondence from the USPTO and noted that there was a due date for response, she would make a docketing entry in my manual docketing calendar and a corresponding docketing entry would be made in the computerized docketing system. By way of example, attached as Exhibit D is a print-out of a docketed due date for one of the applications I handled corresponding to a docketing entry made for the same case in my docketing calendar, as shown in the copy of the relevant page of my 2002 docket calendar attached hereto as Exhibit E.

- 11. In approximately February 2002, the firm of Rosenman & Colin LLP merged with the Chicago law firm of Katten Muchin Zavis to become the law firm of Katten Muchin Zavis Rosenman ("KMZRosenman"). After the merger, I continued to have responsibility for the prosecution ofthis case.
- During June, 2002, while reviewing other cases for Aristocrat, I came upon the present case and, in seeing the notice of missing requirements, became aware that the time for submitting a response, with extensions, had passed. I therefore submitted a petition to revive the case together with a petition to suspend the rules because we could not obtain the signatures of the inventors on the declaration. This act showed the applicant's and my intention not to abandon this application.
- On October 22, 2002, a decision was issued on the petition. Under normal routines the decision would have come to my secretary and under normal routines, had she noticed that a due date for response was given, she would have entered it into the docket calendar on the due date for response Thereafter, as the due date would approach my secretary would bring the file to me to remind me of the response coming due. At the time, I probably assumed that this matter was docketed since the last page of the decision indicated a two-month due date for filing a request for reconsideration of the petition. However, in preparation for this Declaration, I was given a copy of the 2002 docket calendar and on the date of December 22, 2002, the date on which the two-month due date should have been entered, there does not appear to be any entry for this application. Thus, it appears that the matter was not docketed. Enclosed

Ser. No.: 09/720,570 Page 3 of 5

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- On October 22, 2002, a decision was issued on the petition. Under normal routines the decision would have come to my secretary and under normal routines, had she noticed that a due date for response was given, she would have entered it into the docket calendar on the due date for response Thereafter, as the due date would approach my secretary would bring the file to me to remind me of the response coming due. At the time, I probably assumed that this matter was docketed since the last page of the decision indicated a two-month due date for filing a request for reconsideration of the petition. However, in preparation for this Declaration, I was given a copy of the 2002 docket calendar and on the date of December 22, 2002, the date on which the two-month due date should have been entered, there does not appear to be any entry for this application. Thus, it appears that the matter was not docketed. Enclosed

Ser. No.: 09/720,570 Page 4 of 5

as Exhibit F is a copy of the page from the 2002 docket calendar on which a notation of the attorney docket number would have been entered had the response due date been docketed.

- 14. After receiving and reading the decision on the petition, I again tried to contact the inventors by sending Express Mail to the last known address of the inventors, Exhibit G, as was previously discussed in my declaration filed with the initial petition and the previously filed request for reconsideration. While I was waiting for a response to the Express Mail, I contacted the Petitions Office and was advised to wait for a response to the Express Mail or until they were returned as undeliverable before proceeding with filing a request for reconsideration.
- 15. Although the decision on the petition was not docketed, I knew that a request for reconsideration would be due at some point and kept this in mind. Because there was no docketing for the due date for the request for reconsideration, the application was never brought to me at a specific time for preparation and filing of the request for reconsideration. However, from time to time I would recall that some action was due for this application and would renew my efforts to contact the inventors. Occasionally my memory would be triggered as to this case when I received communications from F. B. Rice regarding other cases that we handled for them. During the period from the decision on the first petition until my departure, I also continued to wait for reply correspondence from the inventors where the my communications sent by Express Mail were not returned by the United States Postal Service.
- 16. In March, 2003, my secretary, Cheryl Bajana, left her employment at KMZ Rosenman. After Ms. Bajana left KMZ Rosenman, I did not have a secretary of my own, but was relying upon floater secretaries to assist me with secretarial services.
- 17. During March of 2003, I obtained an offer of employment at another company and during the months of March and April, 2003, I tried to "clean up" as many of my files as possible. It was then that I noticed that I still had been unable to address the inventorship issue with respect to this application. At that time, I requested assignment information from the Australian associate firm of F.B. Rice in preparation for drafting the request for reconsideration of the petition, as shown in my letter attached hereto as Exhibit H.
- 18. As the decision on petition had not been docketed, at no time did it come to my attention for a response due. However, throughout the period from filing until my departure from KMZ Rosenman, it was never my intention to abandon this application, nor was it the intention of the applicant, and I continuously worked on the inventorship issue to provide the necessary information to the USPTO.
- 19. At no time was I advised by F.B. Rice or Aristocrat to allow this application to go abandoned. To the best of my knowledge, this application was to be maintained active at all times.

Ser. No.: 09/720,570

Page 5 of 5

20. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: Nov. 5, 2003

Shahan Islam

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Rosenman & Colin LLP 575 Madison Avenue New York NY 10022-2585

Telephone: (212) 940-8800 Facsimile: (212) 940-8776 Facsimile server: (212) 940-6400 web site: www.rosenman.com

January 12, 2001

VIA AIR MAIL

Mr. Chris Owens F.B. Rice & Company P.O. Box 668 Carlton South 3053 **AUSTRALIA**

Deadline: March 21, 2001

(Information Disclosure Statement) TAGOTTLI@ROSENHAN.COM

DIRECT FAX NUMBER

(212) 894-5564

Re:

National Phase Entry Application

Based on International Patent Application PCT/AU99/00511

Filed: December 21, 2000

For: VIRTUAL EPROM SIMULATOR APPARATUS

Your Ref.: 103048

Our Ref.: 3128/FBR

Dear Chris:

We are pleased to report that we have filed the above-identified application with the U.S. Designated/Elected Office (DO/EO/US) on December 21, 2000.

We have also filed a Preliminary Amendment, and an Information Disclosure Statement.

Enclosed is our invoice for services rendered and costs incurred. We will keep you advised as the application progresses.

Please also let us know about any references for Information Disclosure Statement purposes before, say, March 21, 2001.

Very truly yours,

Theodore A. Gottlieb

Enclosure: Application as filed

Invoice No. 83628

By Facsimile 0011 1 212 940 8776

Our Ref: 102997;103038/43/48/53

Your Ref: 3114/FBR; 3113/; 3119/; 3128/; 3135/

13 February 2001

Rosenman & Colin LLP Attention Mr Shahan Islam 575 Madison Avenue New York NY 10022-2585 United States Of America



FB RICE & CO

Patent and Trade Mark Attorneys

CONFIRMATION

Former NuGame Properties, now Entered into the National Phase in USA

Dear Shahan

We are awaiting your advice resulting from your investigation into the possibility of enlisting the support of the inventors for the various former NuGame US Provisional applications.

As we are receiving Notification of Missing Parts for these national phase applications, we are becoming concerned that we will not be able to meet the deadlines.

Would you please advise us what our best course of action will be in that event.

We look forward to hearing from you.

Yours sincerely

F B RICE & CO

CHRIS OWENS

CO/ib/b13/102997

605 Darling Street, PO Box 117 Balmain NSW 2041 Australia ABN 53 487 267 594

Tel (612) 9810 7133

Fax (612) 9810 8200

DX 22409 Balmain

partners@fbrice.com.au

www.fbrice.com.au

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Brett Lunn BSc PhD GDIP FIPTA

Jenny Petering
BSc(Hons) PhD FIPTA

Paul Kilborn
BAppSc(Chem) Grad Dip Comp Stud FIPTA

Jeremy Dobbin
BA(Hons) MA(Oxon) CPA(UK) EPA FIPTA

SENIOR ASSOCIATE:

Joanne Martin* BA MCom DipLaw(SAB)

ASSOCIATES:

Gwen Bentley BSc FIPTA Mark Wakeham BSc FIPTA

*Trade Mark attorney

Country Application

Sunday, October 26, 2003

Client-Matter: 053735-87545

Country: US

SubCase:

Client: MEINKE, DABRINGHAUS UND PARTNE United States of America

KINE Office States of Affic

Case Type: ORD

Application Status: Pending

Application Number: 09

Filing Date: 12-Oct-1999

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number:

Parent/PCT Date: 28-Oct-1998

Parent Issue Number:

Parent Issue Date:

Agent:

Client Code: MEIN

Expiration Date:

Agent Reference No.:

PTA: 0

Tax Schedule: LE Confirmation #:

Related Case: 2754

REDACTED

Country Application

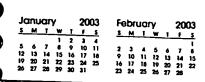
Sunday, October 26, 2003

List Of Actions			
Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	12-Dec-1999	Due Date	
Information Disclosure Stmnt	12-Jan-2000	Due Date	
Application Status Check	12-Apr-2001	Due Date	27-Jun-2002
Did You Report O/A To Client	27-Jul-2002	Due Date	27-Nov-2002
1 Month to Due Date	27-Aug-2002	Reminder	27-Nov-2002
3 Month Due Date	27-Sep-2002	Due Date	27-Nov-2002
1st Extension	27-Oct-2002	Reminder	27-Nov-2002
2nd Extension	27-Nov-2002	Reminder	27-Nov-2002
2 Weeks to Final Date	12-Dec-2002	Due Date	27-Nov-2002
6 Month Final	27-Dec-2002	Final	27-Nov-2002
Application Sta Follow Up Date	27-Dec-2002	Due Date	27-Jun-2002
Did You Report O/A To Client	01-Apr-2003	Due Date	24-Jun-2003
1 Month to Due Date	24-Apr-2003	Reminder	24-Jun-2003
3 Month Due Date	24-May-2003	Due Date	24-Jun-2003
PTO must resp	27-May-2003	Due Date	24-Feb-2003
1st Extension	24-Jun-2003	Reminder	24-Jun-2003
2nd Extension	24-Jul-2003	Reminder	24-Jun-2003
2 Weeks to Final Date	08-Aug-2003	Due Date	24-Jun-2003
6 Month Final	24-Aug-2003	Final	24-Jun-2003
Did You Report O/A To Client	02-Oct-2003	Due Date	
1 Month to Due Date	02-Nov-2003	Reminder	
3 Month Due Date	02-Dec-2003	Due Date	
PTO must resp	24-Dec-2003	Due Date	02-Sep-2003
1st Extension	02-Jan-2004	Reminder	
2nd Extension	02-Feb-2004	Reminder	
2 Weeks to Final Date	17-Feb-2004	Due Date	
6 Month Final Date	02-Mar-2004	Final	
Notice of Appeal Due	02-Mar-2004	Final	
7th Months-Is Brief Needed	02-Apr-2004	Due Date	

User ID: SSEONARA

Date Created: 04-Mar-2002

Last Update: 04-Mar-2002





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575 Madison Avenue New York, NY 10022-2585 212.940.8800 office 212.940.8776 fax

October 29, 2002

EV105567285US

SHAHAN ISLAM shahan.islam@kmzr.com 212,940,8564

VIA EXPRESS MAIL

Mr. Keith Curtis 138 Park Ridge Lane Henderson, Nevada 89015

Re:

U.S. Patent Serial No. 09/720,570

Filed: December 21, 2000

For: Virtual Eprom Simulator Apparatus Our Ref.: 3128/FBR (031035-87558)

Dear Keith:

Hi, it has been a long time since I have seen you or anybody else at the former Nugame.

As you will hopefully recall, I helped draft and supervised the drafting of the original priority U.S. Provisional Application which was assigned along with foreign counterparts of the application to Aristocrat. I also met you very briefly when I visited Nugame's (back then) office, although we talked on the phone and faxed drafts back and forth.

As the application which we filed was a "provisional" patent application, no Declaration and Power of Attorney was necessary and was not filed. However, this application was assigned by you to Nugame, which, in turn, assigned it to Aristocrat Leisure Industries, which later changed its name to Aristocrat Technologies Australia Pty Ltd.

Subsequently, a PCT Application was filed by applicant Aristocrat Technologies Australia Pty Ltd.

As you know, sometime after the assignment of the provisional application from Nugame to Aristocrat, Nugame closed its doors and is now defunct, with no traceable phone number or office - although, I believe, Mike Farnham, the former CEO is still in Las Vegas.

I have been trying extensively to find you through searches in web databases, calls to the telephone company and directory assistance, as well as calling Farnham, who advised me that he is not aware of the whereabouts of the inventors.

However, just on the chance that I MAY find you, I am enclosing with this letter, a Declaration and Power of Attorneys for the U.S. Application (enclosed) which represents the "national phase" entry of the PCT application.

We need to have you sign and date the Declaration on page 2 and return it to us in the enclosed,

Chicago

New York

Los Angeles

Washington, DC

Charlotte

Newark

www.kmzr.com





KMZ Rosenman

Mr. Keith Curtis October 29, 2002 Page 2

self-addressed, Federal Express envelope. (For convenience, you may call 1-800-FED EX to schedule someone from Federal Express to come and get it.)

Please call me if you have any questions and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sincerely

Shahan Islam

Enc: Copy of Patent Application

Declaration and Power of Attorney

Self-addressed Federal Express envelope





October 29, 2002

EV105567294US

575 Madison Avenue New York, NY 10022-2585 212.940.8800 affice 212.940.8776 fax

> SHAHAN ISLAM shahan.islam@kmzr.com 212.940.8564

VIA EXPRESS MAIL

Mr. Eugene Bond 6329 Lena King Avenue Las Vegas, Nevada 89120

Re:

U.S. Patent Serial No. 09/720,570

Filed: December 21, 2000

For: Virtual Eprom Simulator Apparatus Our Ref.: 3128/FBR (031035-87558)

Dear Eugene:

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KMZ Rosenman

Mr. Eugene Bond October 29, 2002 Page 2

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Please call me if you have any questions and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sincerely,

Shahan Islam

Enc: Copy of Patent Application

Declaration and Power of Attorney

Self-addressed Federal Express envelope





As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name,

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if more than one inventor's name is listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>VIRTUAL EPROM SIMULATOR APPARATUS</u>

Title of Invention

the specification of which:	is attached hereto	Title of Invention was filed on December 21, 2000	Application Serial No. 09/720,570
with amendment(s) filed on		(for declaration not accompan	ying application)
I hereby state that I have review by any amendment referred to	wed and understand the cor	ate(s) of all amendments) ntents of the above identified specifications.	ation, including the claims, as amended

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, ∋1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, \$119/\$172 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

APPLICATION NUMBER	COUNTRY	DATE OF FILING (Day, Month, Year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119/172
PCT/AU99/00511	PCT/AU	24 June 1999	Yes —X No
			Yes No
			YesNo

I hereby claim the benefit under Title 35, United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code >112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, >1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION			STATUS	
NUMBER	FILING DATE	PATENTED	PENDING	ABANDONED
60/090,514	June 24, 1998			x
				

POWER OF ATTORNEY: As a named in Rosenman, 575 Madison Avenue, New Y

aereby appoint Shahan Islam (Reg. No. York 10022-2585 (e-mail: shahan isla

whose address is KMZ

his application,	and to transact all business in the	U.S.P	'atent ar	id Trademark Office co	onnected	therewith.	, and notice, to prosecut
Send Correspon	ndence To: Shahan Islam, Esq ROSENMan , 575 Madison Avenue					Direct Telepho) 940-8564	one Number
Full Name of 1st Inventor	Last Name CURTIS			First Name KEITH			Middle Name
Residence & Citizenship	City Henderson	:	State or Nevad	r Foreign Country da		Country of Citizen	
Home Address	No. and Street Address 138 Park Ridge Lane	City Henc	derson		State or Nevad	Country	Zip Code 89015
Full Name of 2nd Inventor	Last Name BOND			First Name EUGENE			Middle Name THOMAS
Residence & Citizenship	City Las Vegas		State or Nevad	Foreign Country		Country of Citizen	
Home Address	No. and Street Address 6329 Lena King Avenue	City Las V	Vegas		State or O		Zip Code 89120
Full Name of 3rd Inventor	Last Name			First Name			Middle Name
Residence & Citizenship	City		State or	Foreign Country		Country of Citizen	ıship
Iome Address	No. and Street Address	City			State or C	Country	Zip Code
Full Name of 4th Inventor	Last Name			First Name			Middle Name
Residence & Citizenship	City		State or I	Foreign Country		Country of Citizen	ship
i	No. and Street Address	City			State or C	Country	Zin Code

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

State or Country

Zip Code

Signature of 1st Inventor	Signature of 2nd Inventor	Signature of 3rd Inventor	Signature of 4th Inventor	
Posts				
Date	Date	Date	Date	

Home Address

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

: Keith Edwin Curtis, et al.

Title

VIRTUAL EPROM SIMULATOR APPARATUS

Serial No.

09/720,570

Filed

December 21, 2000

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Declaration of Cheryl Bajana

I, Cheryl Bajana, hereby declare as follows:

- 1. During September 2000, I began my employment at the law the firm of Rosenman & Colin LLP and during October 2000 I was assigned as a secretary to work for Mr. Shahan Islam, a partner handling intellectual property matters. I had no previous experience in connection with intellectual property matters and was instructed by Mr. Islam in connection with administrative procedures concerning his work.
- 2. During my employment as Mr. Islam's secretary, one of the procedures established was for me to receive and open all mail sent to Mr. Islam from the USPTO. When a due date for response was noted on the correspondence from the USPTO, I was to enter the information into a physical calendar indicating the due date for the response. Thereafter, I was to bring the file with the communication to Mr. Islam. Attached hereto as Exhibit A is a page from one of the physical calendars showing entries that I made for due dates. On a regular basis, I was also to review the physical docketing calendar for upcoming due dates and for each item coming due I was to bring the relevant file to Mr. Islam for preparation of the response coming due.
- 3. In September 2001, Mr. Samson Helfgott and a group of other patent attorneys joined the firm of Rosenman & Colin LLP. At that time our intellectual property group handling patents had expanded. I became aware that they had a computerized docketing system to be maintained by a docketing clerk, that was being installed on the firm's computer network. From about February 2002 on, I was asked that each time I entered a docket number in the physical manual docketing calendar that I maintained for Mr. Islam, I should also give the file and correspondence to the docketing clerk for entry of the application information in the computerized docketing system and for docketing of the due date for the response to the correspondence.

- 4. Attached as Exhibit B is a decision on petition dated October 22, 2002 which apparently arrived at Rosenman & Colin LLP for Mr. Islam. At present I do not recall receiving the decision on the petition and I do not recall whether or not I entered any docketing information for this on the physical docketing calendar. Attached as Exhibit C is a copy of the page from the physical docketing calendar for the due date for the filing of a request for reconsideration of the decision on the petition. The absence of my entry for such due date indicates that no docket entry was made.
- 5. Generally, as I received correspondence from the USPTO, I would always look at the first page which normally contained a due date for filing of a response, and then I would use that as the basis for my entry into the docketing system (including making a notation of the due date in my physical docketing calendar and forwarding the file and correspondence to the docketing clerk for entry and docketing, after the implementation of the computerized docketing system at Rosenman & Colin LLP). I note that in this case, the document had multiple pages and near the end of the last paragraph, on the last page, imbedded within the text is a due date for response. As I normally took the due date from the first page of such communication from the U.S. Patent and Trademark Office, it may very well have been that I did not read through the entire multiple-page document, and therefore did not to find the due date embedded within the last paragraph. As I did not make an entry of the due date for the response in the physical manual docketing calendar, I would not have forwarded the file and correspondence to the docketing clerk for entry into the computerized docketing system. Furthermore, as no entry was made into the physical manual docketing calendar, I would have had no reason to bring this case to the attention of Mr. Islam when the actual due date came about.
- 6. I recall this case as being one in which Mr. Islam continuously tried to contact the inventors. I recall regularly sending out mail, looking up information, and trying to obtain the contact information for the inventors. I specifically recall Mr. Islam's concern about his inability to obtain the inventors contact information.
- 7. I do not recall ever receiving any correspondence from Aristocrat or F.B. Rice instructing us to abandon this application. To the best of my knowledge, this application was to be maintained active at all times.
- 8. In March 2003, I left the employ of KMZ Rosenman.
- 9. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/25/03

Cheryl Bajana

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Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Shahan Islam Rosenman & Colin 575 Madison Avenue New York, NY 10022-2585

In re Application of

CURTIS, Keith Edwin, et al.

Application No.: 09/720,570

PCT No.: PCT/AU99/00511

Int. Filing Date: 24 June 1999

Priority Date: 24 June 1998

Attorney Docket No.: 3128/FBR

For: VIRTUAL EPROM SIMULATOR

APPARATUS

DECISION ON

PETITIONS UNDER

37 CFR 1.183

AND

37 CFR 1.137(b)

This is a decision on applicants' "Petition For Revival of an Applicatio[n] for Patent Abandoned Unintentionally Under 37 CFR 1.137(b)," filed in the United States Patent and Trademark Office (USPTO) on 23 July 2002.

BACKGROUND

On 24 June 1999, applicants filed international application PCT/AU99/00511, which claimed a priority date of 24 June 1998. A copy of the international application was communicated to the United States Patent and Trademark Office (USPTO) from the International Bureau on 29 December 1999. A Demand for international preliminary examination, in which the United States was elected, was filed on 24 January 2000, within nineteen months from the priority date. Accordingly, the thirty-month period for paying the basic national fee in the United States expired at midnight on 26 December 2000 (24 December 2000 was a Sunday and 25 December 2000 was a holiday).

On 21 December 2000, applicants filed a submission for entry into the national stage in the United States which was accompanied by, *inter alia*, the U.S. Basic National Fee.

On 12 March 2001, the USPTO mailed a Notification of Missing Requirements (Form PCT/DO/EO/905) indicating, *inter alia*, that an oath or declaration of the inventors in compliance with 37 CFR 1.497(a)-(b) was required.

On 30 May 2002, the Office mailed a Notice of Abandonment indicating the application went abandoned for failure to timely reply to the Notice to File Missing Parts mailed 12 March 2001.

On 23 July 2002, applicants submitted the instant petitions under 37 CFR 1.137(b) and 37 CFR 1.183, which were accompanied by a statement from Shahan Islam.

Application No.: 09/720,570

DISCUSSION

A petition to revive an abandoned application under 37 CFR 1.137(b) must be filed without intentional delay from the time the application became abandoned and/or applicant first became aware of the abandoned status of the application. A petition under 37 CFR 1.137(b) must be accompanied by (1) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional, (2) the required reply, (3) the petition fee required by law (37 CFR 1.17(m)), and (4) a terminal disclaimer and fee (if the international application was filed prior to June 8, 1995).

Applicants have made the required statement and have paid the petition fee. A terminal disclaimer is not required as the international application was filed on or after 08 June 1995. However, applicants' reply is deficient. The required reply is an oath or declaration in compliance 37 CFR 1.497 and if the inventors refuse to execute the oath or declaration or cannot be reached after a diligent effort, as alleged, a petition under 37 CFR 1.47(b). Applicants have filed a petition for suspension of the rules under 37 CFR 1.183. Applicants have not explained why a petition under 37 CFR 1.47(b) would not satisfy their needs.

CONCLUSION

For the above reasons, applicants' petition under 37 CFR 1.137(b) is **DISMISSED**, without prejudice.

Applicants' petition under 37 CFR 1.183 is **DISMISSED** without prejudice.

The application remains **ABANDONED**.

If reconsideration on the merits of this petition is desired, a proper response must be filed within TWO (2) MONTHS from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.137(b)."

Any further correspondence with respect to this matter should be addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, with the contents of this letter marked to the attention of the Office of PCT Legal Administration.

Leonard E. Smith

PCT Legal Examiner

PCT Legal Administration

Cin M. Render Erin M. Pender

Attorney Advisor

PCT Legal Administration

Telephone:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Keith Edwin Curtis, et al.

Title : VIRTUAL EPROM SIMULATOR APPARATUS

Serial No. : 09/720,570

Filed : December 21, 2000

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Declaration of Samson Helfgott

I, Samson Helfgott, hereby declare as follows:

- 1. I am a registered patent attorney in United States Patent and Trademark Office under Registration No. 23,072.
- 2. Prior to September 2001, I was an officer in the law firm of Helfgott & Karas, P.C., located at 350 Fifth Avenue, New York, NY. On September 1, 2001, Helfgott & Karas, P.C. joined the firm of Rosenman & Colin LLP, moving with all of its personnel, files, clients, and other related material to establish a patent section within the firm of Rosenman & Colin LLP. I joined Rosenman & Colin LLP as a partner.
- 3. Prior to our arrival at Rosenman & Colin LLP, Mr. Shahan Islam had previously joined the firm and as a partner of the firm was handling intellectual property matters on behalf of his clients.
- 4. At Helfgott & Karas, P.C. we had a computerized docketing system created by Computer Packages Inc. (CPi) in which was entered and maintained bibliographic information for all of our intellectual property matters. The CPi system contained an electronic docketing system in which docketing for all of the database entries was maintained. Using the CPi system, we generated docket reports on a monthly basis for each attorney. The docketing reports noted all due dates for responses to Office Actions from the USPTO, which were due in the coming month. The CPi system implemented at Helfgott & Karas, P.C. was one that has been widely accepted and is a standard utilized throughout the United States by major corporations and law firms.
- Upon our entering into Rosenman & Colin LLP, their Information Technology (IT) staff worked with CPi to transfer the database of information from the CPi system utilized at Helfgott & Karas, P.C. onto the Rosenman & Colin LLP's computer network environment. Rosenman & Colin LLP also worked with CPi to make improvements to the CPi system that was being transferred. There was an extended transition period from our entering Rosenman & Colin LLP until the CPi system was fully operational on Rosenman & Colin LLP's computer

network in February, 2002. During the transition period, the CPi system utilized at Helfgott & Karas, P.C. was maintained on a stand-alone computer and information compared against information in the CPi system being implemented on Rosenman & Colin LLP's computer network to be sure all systems and reports were operative. At the end of the transition period, all docketing reports for attorneys generated from the transferred system

- 6. Beginning with February, 2002, I began addressing the entry and docketing of information for the patent cases of Mr. Shahan Islam's clients, for which he maintained a manual docketing system. Mr. Islam's secretary, Ms. Cheryl Bajana, was instructed to continue to maintain her manual docketing calendar, and after completing the entries in her docketing calendar, she was instructed to forward any correspondence she received from the USPTO to our docketing department for entry of the docketing information also in the CPi system. As the docketing department received correspondence from the USPTO for entry of docketing information in the CPi system on the cases, the bibliographical information for the patent applications handled by Mr. Islam would also be entered, unless it was previously entered.
- 7. Attached as Exhibits A is a copy of a page from Mr. Islam's manual docketing calendar. Attached as Exhibit B is a print-out from the CPi docketing system showing the same item as entered in Mr. Islam's manual docketing calendar.
- 8. At the end of February 2002, Rosenman & Colin LLP merged with the Chicago law firm of Katten Muchin Zavis to become the law firm of Katten Muchin Zavis Rosenman ("KMZ Rosenman"). The responsibility for clients remained the same so that Mr. Islam still retained responsibility for all the clients he previously had and the cases he was handling. Essentially, the working relationship that previously existed under Rosenman & Colin LLP continued under the new merged KMZ Rosenman firm.
- 9. Around March 2003, Mr. Islam advised me that he would be leaving KMZ Rosenman for another position. He indicated that he was reviewing his cases and asked me to reassign these cases to other attorneys within our patent department. At the time, he indicated he was still trying to complete certain matters before he left.
- 10. After Mr. Islam left KMZ Rosenman on April 11, 2003, I arranged for our clerical staff to go through all the files in his office and in his file cabinets and to double check to make sure that they were entered into our CPi system. To the extent cases were not yet entered into our system, we then did so. There were quite a number of cases which we found had not been entered into our CPi system and were newly entered as they were found. This procedure took approximately two months as there were a considerable number of files to go through, check the status with the USPTO's database, enter the bibliographic information in the CPi system, enter due dates, contact clients to advise them of new attorney assignments, and other administrative matters that were necessary to transfer responsibility and maintain control of these files. Following Mr.

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HELFGOTT

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PAGE

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Ser. No. 09/720,570 Page 3 of 3

Islam's departure from KMZ Rosenman, the general maintenance department of our firm cleared the files, records, and office supplies contained in Mr. Islam's office as well as his secretarial area in order to prepare the room for occupancy by another attorney. In doing so, some of the calendars and other records kept by Mr. Islam and/or his secretary were apparently disposed of as the maintenance department personnel were not familiar with the particulars of these items and considered them as old calendars or old records.

- During June 2003, we were in contact with the Australian associates, F.B. Rice in trying to get a full list of the cases that we were handling for them. As a result of this review, we identified a number of cases, including the present one on which the file contained the decision on petition dated October 22, 2002. Under instructions of Aristoctat Technologies Industries Pty. Ltd. (hereinafter "Aristoctat"), we began collecting the necessary assignment documents, transfer documents, and other evidence needed for us to prepare suitable petitions to attempt revival of these cases. In July 2003, the petitions were filed by the new attorney of record for this application, Mr. Kendall Thiessen,
- From the time of Mr. Islam's departure from KMZ Rosenman in April, until the petitions were filed, we worked diligently in identifying and individually reviewing each of the files from Mr. Islam, entering the information in the CPi system, following up on each of the cases and with 12. respect to verious of Aristocrat's cases that had unintentionally gone abandoned including the present case, obtaining the necessary documentation and filing the necessary petitions required for revival of the
- From the time of our discovery of the unintentionally abandoned Aristocrat cases, including the current application, it has been my understanding that the applications were never intended to be abandoned. In my review of the file for this application and the other applications of the client which had gone abandoned, and which Mr. Islam had continuously worked on in attempting to resolve the inventorship issues. Aristocrat applications, I found that the applications were unintentionally abandoned. I did not find any indication in the file of any deliberate action by anyone to abandon the Aristocrat applications, including this application.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

N.V. 25,2003

Samson Helfgott

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Country Application

Tuesday, November 25, 2003

Client-Matter: 031035-87558

Country: US

SubCase:

Client: F.B. RICE & CO.

United States of America

Case Type: PCT

Application Status: Transfered

Application Number: 09/720,570

Filing Date: 21-Dec-2000

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: PCTAU99/00511

Parent/PCT Date: 24-Jun-1999

Parent Issue Number:

Parent Issue Date:

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Tax Schedule: LE

Confirmation #:

Client Code: FBR

Related Case: 3128

List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	21-Feb-2001	Due Date	-
Information Disclosure Stmnt	21-Mar-2001	Due Date	
Application Status Check	21-Jun-2002	Due Date	
7 Day To Due Date	01-Aug-2003	Reminder	
PETITION TO REVIVE DUE	08-Aug-2003	Final	

User ID: SSEONARA

Date Created: 27-Jun-2003

Last Update: 09-Oct-2003

Ser. No.: 09/720,570 Page 1 of 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

: Keith Edwin Curtis, et al.

Title

VIRTUAL EPROM SIMULATOR APPARATUS

Serial No.

09/720,570

Filed

December 21, 2000

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Declaration of Satnarine Seonarain

I, Satnarine Seonarain, hereby declare as follows:

:

- 1. In August 2001, I began working as a docket clerk at the firm of Helfgott & Karas, P.C. Since that period of time, I was responsible for entry of all bibliographic information and docketing information for all patent applications into a computerized docketing system created by Computer Packages Inc. ("CPi").
- 2. I worked with CPi personnel in making sure that the database was accurate, and on a regular basis I printed out docket reports to be given to individual attorneys containing docketing information on cases where a response was due in the upcoming month. Attached as Exhibit A is a sample of a docket report prepared for a specific attorney (with sensitive/confidential information redacted). Attached as Exhibit B are sample of print-outs for some patent records as generated by the CPi system showing the docketing entered for the applications and the bibliographic information entered.
- 3. In September 2001, the firm of Helfgott & Karas, P.C. joined the firm of Rosenman & Colin LLP and I along with all the other personnel of Helfgott & Karas, P.C. moved to the offices of Rosenman & Colin LLP. At that time the CPi system was being converted onto Rosenman & Colin LLP's computer network.
- 4. When I arrived at Rosenman & Colin LLP, the CPi system was not yet fully operational on Rosenman & Colin LLP's computer network there were report generators and other requirements that needed to be completed for the CPi system to be fully operational on the Rosenman & Colin LLP's computer network. The transitional period from the move to Rosenman & Colin LLP until the CPi system was fully operational and I was satisfied with the system lasted for a few months following our move to Rosenman & Colin LLP. During this transition period, I continued to utilize both the old CPi system, which was maintained on a stand-alone computer, and the

Ser. No.: **09/720,570** Page 2 of 3

new CPi system on Rosenman & Colin LLP's computer network, in order to be sure that no data was lost. I compared printouts from both systems to ensure that the records as on the new CPi system were accurate. It was not until February 2002, that I was satisfied that the new system could be fully utilized and we then discontinued use of the old CPi system on the stand-alone computer.

- 5. Once the CPi system was fully operational at Rosenman & Colin LLP, I was instructed to begin entering information on the patent cases for the clients of Mr. Shahan Islam. As there were a vast number of cases, I was usually given docketing information from Mr. Islam or his secretary, Ms. Cheryl Bajana, as they received correspondence from the United States Patent and Trademark Office (USPTO) for the individual cases. When I received such docketing information, I would pull the file for the application and enter the bibliographic information for the application into the CPi system, if the information had not yet been entered, and then I would docket the application for response due to the correspondence received from the USPTO. By way of example attached as Exhibit C is a print-out for one of Mr. Islam's cases showing the docketed due dates. The entry in the CPi system corresponds to the entry made in the docketing calendar shown to me as Ms. Bajana's docketing calendar for patent applications handled by Mr. Islam.
- 6. In the middle of April, 2003, when Mr. Islam left the firm (now named Katten Muchin Zavis Rosenman following a merger with another firm in 2002), we began to review all of the files for the patent applications handled by Mr. Islam. Files were located in Mr. Islam's office, his file cabinets, the local active storage room and the other storage areas of the firm containing patent files. On a case by case basis, I began reviewing all of the files for the patent applications handled by Mr. Islam. For those applications for which bibliographic information and docketing information had not yet been entered in the CPi system, I began entering the bibliographic information and entered any docketing information for any outstanding Office Actions. To do so, it was necessary to review each file to determine its current status, whether there was any docketing information required to be entered, verifying the current status with the USPTO, and being sure that the information being entered was accurate and verifiable. Because of the large number of cases involved, and my existing workload, such entry and review was at a slow pace, but was actively pursued each day. Of course, as new Office Actions came in for applications formerly handled by Mr. Islam, the bibliographical and docketing information for that application was immediately entered and the case forwarded to a patent attorney for prosecution.
- 7. In June 2003, I was given the present case for entry into the database. The bibliographic information as was entered at that time included docketing information. Attached as Exhibit D is a current printout showing information contained in the CPi system's database relating to this case.
- 8. I do not recall anyone ever giving me the decision on the petition dated October 22, 2002 for docketing entry in connection with this application. The CPi system did not have any entries for docketing in connection with the this case. The file jacket also does not include any of my notations for

Ser. No.: **09/720,570** Page 3 of 3

docketing. In view of this, I do not believe I received the decision on the petition from either Mr. Islam or Ms. Bajana for entry of the docketing information in the CPi system.

9. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/2563,

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01-Oct-2003 Due Date	Information Disclosure Strunt Relate Old Clien	nnt 100788-00062 / United States of America Related Case: 20464 Old Client Code: SAIC Patent: MANDREL-HOLDING SLIDE	100788-00062 / Pending United States of America DRAGOTTI & ASSOCIATI d Case: 20464 rt Code: SAIC Patent: MANDREL-HOLDING SLIDE OR SLEEVE POD FOR NUMERICAL-CONTROL MACHINE TOOLS	L-CONTROL MACHINE	01-Jul-2003	HW

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Due Date	Did You Report O/A To Client US-Final Office Action Related Old Client	lient 100799-00069 / A United States of America Related Case: 18934 Old Client Code: KAM Patent: WHEEL DRIVE UNIT	Pending KAMODA INTERNATIONAL, P.A.O.	1 .	05-Nov-2002	HW
01-c 503 Due Date	Did You Report O/A To Client US-3 Month Office Action Related Old Client	lient 100809-16206 / United States of America Related Case: 18056 Old Client Code: SCEI Patent: RECORDING MEDIUM, MET ROLE-PLAYING GAMES	100809-16206 / Pending 09/mm 10-Ji United States of America Sony Computer Entertainment Inc. d Case: 18056 t Code: SCEI Patent: RECORDING MEDIUM, METHOD OF USING A COMPUTER AND COMPUTER FOR EXECUTING-ROLE-PLAYING GAMES	09/mmm COMPUTER FOR EXE	10-Jan-2001	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action Related Old Client P	lient 100809-16276 / United States of America Related Case: 19027 Old Client Code: SCEY Patent: METHOD RELATED TO OBJ	tes of America Sony Computer Entertainment Inc. RELATED TO OBJECT CONTROL OF VIDEO GAME	60	02-Oct-2001	МН
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action Related Old Client P	lient 101353-00050 / United States of America Related Case: 3268 Old Client Code: ENRI Patent: A PROCESS FOR OPTIMAL I	101353-00050 / Pending 10 United States of America JAMES J. ENRIGHT d Case; 3268 t Code: ENRI Patent: A PROCESS FOR OPTIMAL ECONOMIC EFFICIENCY IN POSTAL OPERATIONS	10. The state of t	13-Nov-2002	HW DD
02-Oct-2003 Due Date	Did You Report O/A To Client US-Final Office Action Related Old Client	lient 053735-87545 / United States of America Related Case: 2754 Old Client Code: MEIN Patent: FLUIDIZED-BED REACTOR	053735-87545 / Pending 09. Comments of America MEINKE, DABRINGHAUS UND PARTNER GBR 4 Case: 2754 ct. Code: MEIN Patent: FUIDIZED-BED REACTOR FOR THE OXYCHLORINATION OF ETHYLENE, OXYGEN AND HCI	09/ THYLENE, OXYGEN A	12-Oct-1999	MH *

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02-Oct-2003 Due Date	Information Disclosure Strnut	100700- United S Related Case: 20480 d Client Code: HERR Patent: REMOV	00106 / Pending *	SELLING FOOD PRODI	02-Jul-2003 UCTS	МН	
03-6 003 Due Date	Foreign Filing Reminder Foreign Filing	O77695-87544 / Pending United States of America STULL TE Related Case: 3262 Old Client Code: STULL Patent: SNAP-ON PLUG WITH LOCKING COVER	Pending STULL TECHNOLOGIES, INC. KING COVER	(09	03-Apr-2003	HW DD	7
03-Oct-2003 Due Date	Filing Reminder File Application	Pending United States of America STULL TE Related Case: 3262 Old Client Code: STULL Patent: SNAP-ON PLUG WITH LOCKING COVER	Pending STULL TECHNOLOGIES, INC. KING COVER	, , , , , , , , , , , , , , , , , , ,	03-Apr-2003	HW DD	
03-Oct-2003 Due Date	Filing Reminder File Application	077695-87563 / United States of America Related Case: 3293 Old Client Code: STULL Patent: SNAP-ON/OFF 360 BEAD CAP	Pending STULL TECHNOLOGIES, INC. AP	(0)	03-Apr-2003	HW DD	
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87563 / United States of America Related Case: 3293 Old Client Code: STULL Patent: SNAP-ON/OFF 360 BEAD CAP	Pending STULL TECHNOLOGIES, INC. AP	1 09	03-Apr-2003	HW DD	
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87564 / Pendii United States of America STUL Related Case: 3294 Old Client Code: STULL Patent: VENTING SYSTEM FOR CLOSURES	Pending STULL TECHNOLOGIES, INC. OSURES	7/09	03-Apr-2003	HW DD	

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	Related Case: 3094 Old Client Gode: FLK Patent: MET TEM	e: 3094 e: FLK it: METHOD AND APPARATUS TEMPERATURE	a Case: 3094 :t Code: FLK Patent: METHOD AND APPARATUS FOR CONTROLLING A SEMICONDUCTOR FABRICATION TEMPERATURE	CTOR FABRICATION	٠.		
04-Oct-2003 Final	6 Month Final US-3 Month Office Action Unite Related Case: 3051 Old Client Code: ISC Patent: MET	043370-88360 / United States of America e: 3051 e: ISC it; METHOD AND APPARATUS	043370-88360 / Pending 043370-88360 / Pending INSTILE STONE CORP. ### Case: 3051 ### Code: ISC Patent: METHOD AND APPARATUS FOR CREATING MOSAIC STRUCTURES	09/ 1	18-Oct-2001) MH	
04-Oct-2003 Due Date	Application Status Check United Related Case: 3346 Old Client Code: ASSIA	056730-00056 / Pending United States of America NAOMI ASSIA d Case: 3346 t Code: ASSIA Patent: DIGITAL OFFSET PRINTING REGISTRATION	Pending NAOMI ASSIA LAW OFFICES REGISTRATION	10	04-Apr-2002	HM	
04-Oct-2003 Due Date	Chapter II Due (Nat'l Filing) Patent (Related Case: 18124 Old Client Code: MULR	100502-14715 / Pendin Patent Cooperation Treaty ISSAC d Case: 18124 t Code: MULR Patent: STETHOSCOPE SHIELD DISPENSER	Pending ISSAC WURZBURGER ENSER	PCTUS01/10920	04-Apr-2001	н ДД	•
04-Oct-2003 Due Date	Tax 3.5 United Related Case: 15817 Old Client Code: HERR Patent: COFFI	100700-09111 / Granted United States of America HERRERO &. d Case: 15817 t Code: HERR Patent: COFFEE MAKER FILTER HOLDER SYSTEM	Granted HERRERO & ASOCIADOS LDER SYSTEM	6,044,754	27-Jan-1999 04-Apr-2000	мн	
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Due Date	FOLLLOW UP ON POSTCARD 10078: FOLLOW UP ON POSTCARD United Related Case: 20450 Old Client Code: ROGL	100787-00050 / United States of America d Case: 20450 t Code: ROGL Patent: HANGING SUPPORT	Pending SERVICIOS ROGEL C.A.		05-Aug-2003	HW
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07-Oct-2003 Final	Foreign Filing Due Foreign Filing Related Case: 3357 Old Client Code: STULL Patent: POP-OU	077695-00067 / United States of America d Case: 3357 t Code: STULL Patent: POP-OUT LOCKING PLUG	Pending STULL TECHNOLOGIES, INC.		07-Oct-2002	НМ
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Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD Related Case: 20534 Old Client Code: HERR	RD 100700-00107 / Pend D United States of America HER Related Case: 20534 d Client Code: HERR Patent: MOTOR VEHICLE TRANSMISSION	Pending HERRERO & ASOCIADOS ISSION		07-Aug-2003	МН
07-00-03 Due Date	FOLLLOW UP ON POSTCARD 10078 FOLLOW UP ON POSTCARD United Related Case: 20523 Old Client Code: SAIC Patent: CONT	38-00063 /d States of	Pending America DRAGOTTI & ASSOCIATI FOR DIFFERENT USES IN DIFFERENT ENVIROMENTS	ENTS	07-Aug-2003	HM .
07-Oct-2003 Due Date	INSTRUCTIONS TO Follow Up Date 10080 INSTRUCTIONS TO AGENT DUE Canad Related Case: 17307 Old Client Code: SCED	canada Sony Computer Enta d Case: 17307 t Code: SCED Pending Sony Computer Enta T Code: SCED	Pending Sony Computer Entertainment Inc. NG LEGS AND CAPS	2,314,057	18-Jul-2000	НМ
08-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) 10080 US-Allowance Related Case: 17531 Old Client Code: SCED Patent: DISK	100809-16174 / United States of America d Case: 17531 t Code: SCED Patent: DISK DEVICE	Pending Sony Computer Entertainment Inc.	60	18-Oct-2000	нw О
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10-Oct-2003 Due Date	Did You Report O/A To Client 10079 US-Final Office Action Related Case: 19635 Old Client Code: KAM Patent: TAPEI	100799-00063 / United States of America d Case: 19635 t Code: KAM Patent: TAPERED ROLLER BEARING	Pending KAMODA INTERNATIONAL, P.A.O.	. To	09-Apr-2002	НМ

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11-Oct-2003 Due Date	Did You Report O/A To Client 100759 US-3 Month Office Action United Related Case: 19973 Old Client Code: COHN Patent: AIR LA	100759-00050 / United States of America e: 19973 e: COHN trank LAUNCH OF PAYLOAD of	100759-00050 / Pending United States of America DR. REINHOLD COHN & PARTNERS d Case: 19973 it Code: COHN Patent: AIR LAUNCH OF PAYLOAD CARRING VEHICLE FROM A TRANSPORT AIRCRAFT	10 S PORT AIRCRAFT	26-Sep-2002	НW	
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12-Oct-2003 Due Date	FOLLLOW UP ON POSTCARD 10079 FOLLOW UP ON POSTCARD United Related Case: 19004 Old Client Code: KAM Patent: ROTA	100799-00080 / A United States of America e: 19004 e: KAM tt: ROTATION SUPPORT APPAR	100799-00080 / A Pending United States of America KAMODA INTERNATIONAL, P.A.O. d Case: 19004 t Code: KAM Patent: ROTATION SUPPORT APPARATUS FOR COMPRESSOR PULLEY		12-Aug-2003	НМ	

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	g G			1.b. NCE & CO.	Related Case: 3238		
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15-Oct-2003	Did You Report O/A To Client	100534-15188 /	Pending	10/	17-Jan-2003	HW	
Due Date	US-3 Month Office Action Related	United States of America Related Case 15188	Gianfagna Associates, Inc.			DD	
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Due Date	US-3 Month Office Action	United States of America	JAKKS PACIFIC INC.		700- dir.or		
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	Related	Related Case: 20346					
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		Patent: SYSTEM AND METHOD FO	Four: ASSIA Patent: SYSTEM AND METHOD FOR PURCHASING GOODS OR SERVICES VIA THE INTERNET	SES VIA THE INTERN	ET	5 ÷,,	
16-Oct-2003	Filing Reminder	077695-00051 /	Pending	09	16-Apr-2003	МH	
Due Date	File Application	United States of America	STULL TECHNOLOGIES, INC.		-	DΩ	
	Related	Related Case: 3325					
		Patent: SNAP-TOGETHER DISPENSING TAP	ING TAP				
16-Oct-2003	Foreign Filing Reminder	077695-00051 /	Pending	/09	16-Apr-2003	HW	
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16-6 003 Due Date	Foreign Filing Reminder Foreign Filing Related Old Client	077695-00052 / Pending United States of America STULL TECHN Related Case: 3324 Old Client Code: STULL Patent: CLOSURE WITH TRIANGULAR POUR SPOUT	Pending STULL TECHNOLOGIES, INC. AR POUR SPOUT	09	16-Apr-2003	MH DD
16-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance Related (Old Client of P.)	ns) 100700-09142 / United States of America Related Case: 18137 Old Client Code: HERR Patent: PROCESS FOR PRODUCING	100700-09142 / Pending 09/ 01-Fet United States of America HERRERO & ASOCIADOS d Case: 18137 t Code: HERR Patent: PROCESS FOR PRODUCING ICE CREAMS AND MACHINE FOR IMPLEMENTING SUCH PROCESS	09/	01-Feb-2001	нм Ю
17-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED 0310 Units Related Case: 3243 Old Client Code: FBR Patent: GAM	35-8 ad Sta	7575 / Pending ates of America F.B. RICE & CO. MACHINE WITH MULTI-DIMENSIONAL SYMBOLS	50	22-Aug-2001	HW
17-Oct-2003 Due Date	Information Disclosure Stmnt 10079 Unitec Related Case: 20521 Old Client Code: KAM Patent: ELEC	100799-00079 / Pending United States of America KAMODA INTERNATION Related Case: 20521 d Client Code: KAM Patent: ELECTRIC-POWERED POWER STEERING APPARATUS	Pending KAMODA INTERNATIONAL, P.A.O. SR STEERING APPARATUS	O	17-Jul-2003	HW
18-Oct-2003 Due Date	Tax 3.5 10079 Unitec Related Case: 15702 Old Client Code: FUJA Patent: MODU	100794-11093 / Granted United States of America Fujitsu Ltd. Related Case: 15702 I Client Code: FUJA Patent: MODULATION METHOD AND APPARATUS	Granted Fujitsu Ltd. D APPARATUS	20923 <i>\$</i> 6052037	10-Dec-1998 18-Apr-2000	нм

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19-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) 100800 US-Allowance Related Case: 18950 Old Client Code: SCEY APPA	100809-16300 / United States of America Related Case: 18950 I Client Code: SCEY Patent: OPTICAL DISC APPARATUS APPARATUS	100809-16300 / Pending 09/marica Sony Computer Entertainment Inc. d Case: 18950 it Code: SCEY Patent: OPTICAL DISC APPARATUS AND ADJUSTING METHOD THEREFORE AND ENTERTAINMENT APPARATUS	09/MONE AND ENTERTA	24-Aug-2001	HW JD
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21-Oct-2003 Due Date	Information Dis Follow Up Date 100439 Information Disclosure Strant United Related Case: 16830 Old Client Code: KORP	te 100439-00050 / A United States of America Related Case: 16830 I Client Code: KORP Patent: SCREEN BORDER	Pending JAMES KORPAI	10	21-Apr-2003	HW
21-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) 100800 US-Allowance Related Case: 17307 Old Client Code: SCED Patent: CASE	100809-00167 / A Pending United States of America Sony Computer Ente Related Case: 17307 I Client Code: SCED Patent: CASE ASSEMBLY INCLUDING LEGS AND CAPS	Pending Sony Computer Entertainment Inc. NG LEGS AND CAPS	10	12-Mar-2002	HW DD
22-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action United Related Case: 19368 Old Client Code: SAIC Patent:STRU	100788-00053 / United States of America Related Case: 19368 I Client Code: SAIC Patent: STRUCTURE FOR LIMITING	100788-00053 / Pending United States of America DRAGOTTI & ASSOCIATI d Case: 19368 t Code: SAIC Patent: STRUCTURE FOR LIMITING MOVEMENTS OF THE LEG-PIECE OF A MOTORCYCLE BOOT	10/	18-Jan-2002 30OT	HW

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
23-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing Related Old Client F	077695-87578 / United States of America Related Case: 3308 Old Client Code: STULL Patent: STULL GUARD	Pending STULL TECHNOLOGIES, INC.	09	23-Apr-2003	HW DD
23-6 J03 Due Date	Filing Reminder File Application Related Old Client P	077695-87578 / United States of America Related Case: 3308 Old Client Code: STULL Patent: STULL GUARD	Pending STULL TECHNOLOGIES, INC.	109	23-Apr-2003	нм ДД
23-Oct-2 003 Due Date	Information Dis Follow Up Date Information Disclosure Strunt Related Old Client P	Date 100668-00092 / D Pending mt United States of America JONATHA1 Related Case: 17939 Old Client Code: JWIL Patent: POLYHEDRAL SKELETAL ANIMAL TOY	Pending JONATHAN WILLINGER ANIMAL TOY	29	23-Apr-2003	НМ
23-Oct-2003 Final	Issue Fee Due US-Allowance Related Old Client P	100809-16174 / United States of America Related Case: 17531 Old Client Code: SCED Patent: DISK DEVICE	Pending Sony Computer Entertainment Inc.	60	18-Oct-2000	HW O
24-Oct-2 003 Due Date	Information Dis Follow Up Date Information Disclosure Strant Related Old Client P	nut United States of America D Related Case: 20352 Old Client Code: SAIC Patent: MOULD FOR CURVED PANELS	Pending DRAGOTTI & ASSOCIATI ELS	10/	24-Apr-2003	HW EM

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	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date	Attys
Final P	LETTER-D DUE TO CLIENT PCT-REMINDERS Related Case: 18829 Old Client Code: ELBX Patent: METH	Pending Patent Cooperation Treaty ELBEX VIDEO LTD 18829 ELBX METHOD AND APPARATUS FOR CONNECTING A TO A CONCIERGE STATION OVER THE INTERNET	100792-00057 / Pending PCTUS02/22187 12-Jul-2 Patent Cooperation Treaty ELBEX VIDEO LTD d Case: 18829 t Code: ELBX Patent: METHOD AND APPARATUS FOR CONNECTING A TELEVISION INTERPHONE MONITOR SYSTEM TO A CONCIERGE STATION OVER THE INTERNET	PCTUS02/22187	12-Jul-2002 DR SYSTËM	HW DD
25-Oct-2003 IP	INVITATION TO CORRECT DEFECTS 10071 Patent Related Case: 19908 Old Client Code: GRAT Patent: PROCI	100717-00066 / A Patent Cooperation Treaty 19908 GRAT PROCEDURE AND DEVICE FO	TTS 100717-00066 / A Pending PCTUS03/26759 Patent Cooperation Treaty GUNTER GRATTINGER, ESQ. 4 Case: 19908 4 Code: GRAT Patent: PROCEDURE AND DEVICE FOR CLEANING FLOORS WITH FLAT CLEANING MOPS	PCTUS03/26759 CLEANING MOPS	26-Aug-2003	НW DD
25-Oct-2003 In Due Date In	Information Dis Follow Up Date 100809 Information Disclosure Strant Related Case: 19380 Old Client Code: SCEY	100809-00164 / Per United States of America Sor d Case: 19380 t Code: SCEY Patent: INFORMATION ENTRY METHOD	Pending Sony Computer Entertainment Inc.	10)	25-Jan-2002	HW
26-Oct-2003 FG Due Date FG	FOLLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD Related Case: 20545 Old Client Code: FBR Patent: MULT	031035-00061 / Pending United States of America F.B. RICE & CO. d Case: 20545 t Code: FBR Patent: MULTI-PLATFORM GAMING ARCHITECTURE	Pending F.B. RICE & CO. ARCHITECTURE		26-Aug-2003	мн
26-Oct-2003 1 Reminder Us	1 Month to Due Date 0310 US-Final Office Action Related Case: 2741 Old Client Code: FBR Patent: DIST	031035-87549 / Pending United States of America F.B. RIC d Case: 2741 t Code: FBR Patent: DISTRIBUTED GAME ACCELERATOR	Pending F.B. RICE & CO. ERATOR	50	06-Aug-1999	MH

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		Patent Dire Date	Dire Datellist Br Date 2003 - Torre Coetooski e			Page: 116.
Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
26-Oct-2003 Reminder	Fee Due in 1 Month US-Allowance Related Case: 3033 Old Client Code: ASSIA	056730-88848 / United States of America ase: 3033 ode: ASSIA tent: SYSTEM AND METHOD FOI	056730-88848 / Pending 056730-88848 / NAOMI ASSIA LAW OFFICES d Case: 3033 t Code: ASSIA Patent: SYSTEM AND METHOD FOR STREAMING REAL TIME ANIMATION DATA FILE	OS NO DATA FILE	22-Jun-2000	HW D
26-Ok-303 Reminder	Reminder-Design DESIGN FOREIGN FILING DUE Unite Related Case: 20470 Old Client Code: JWIL	100668-00097 / Pending DUE United States of America JONATHAN WILLING Related Case: 20470 4 Client Code: JWIL Patent: HANDLE FOR A DOUBLE-SIDED GROOMING TOOL	Pending JONATHAN WILLINGER IDED GROOMING TOOL	29/	26-Jun-2003	HW DD EM
26-Oct-2003 Due Date	FOLLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD Related Case: 17935 Old Client Code: JWIL Patent: SPHE	RD 100668-00099 / E D United States of America Related Case: 17939 4 Client Code: JWIL Patent: SPHERICAL AND SPHERICA	100668-00099 / E Pending United States of America JONATHAN WILLINGER d Case: 17939 t Code: JWIL Patent: SPHERICAL AND SPHERICAL POLYHEDRAL SKELETAL ANIMAL TOYS	TOYS	26-Aug-2003	МН
26-Oct-2003 Reminder	1 Month to Due Date US-Final Office Action Related Case: 18934 Old Client Code: KAM Patent: WHEI	100799-00069 / A United States of America Related Case: 18934 I Client Code: KAM Patent: WHEEL DRIVE UNIT	Pending KAMODA INTERNATIONAL, P.A.O.	10	05-Nov-2002	MH
26-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action Related Case: 1805(Old Client Code: SCEI Patent: RECC	100809-16206 / United States of America Related Case: 18056 I Client Code: SCEI Patent: RECORDING MEDIUM, METROLE-PLAYING GAMES	100809-16206 / Pending 09 United States of America Sony Computer Entertainment Inc. d Case: 18056 t Code: SCEI Patent: RECORDING MEDIUM, METHOD OF USING A COMPUTER AND COMPUTER FOR EXECUTING ROLE-PLAYING GAMES	09 OMPUTER FOR EX	10-Jan-2001 KECUTING	MH M

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number	App Date	Attys	
26-Oct-2003 Reminder	Fee Due in 2 Months US-Allowance Related Old Client	100811-16891 / United States of America Related Case: 16891 Old Client Code: TKU Patent: EXERCISE ASSISTING METER	Pending TAKASU PATENT OFFICE	60	08-Feb-2000	HW G	
27-C b3 Reminder	1 Month to Due Date US-3 Month Office Action Related Old Client	031035-87577 / United States of America Related Case: 3265 Old Client Code: FBR Patent: GAMING VIDEO OVERLAY	Pending F.B. RICE & CO.	10,	04-Dec-2001	НW	·
27-Oct-2003 Reminder	EXAMINATION - REMINDER REQUEST FOR EXAMINATION Related Old Client P	ADER 100593-15265 / Pending ATION Canada COLTENE/WHALEDER Related Case: 14307 Old Client Code: WHAL Patent: COMPACT CASSETTE FOR DENTAL INSTRUMENTS	Pending COLTENE/WHALEDENT, INC. DENTAL INSTRUMENTS	2,345,812	27-Oct-1999	HW DD	
27-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance Related Old Client	Is) 100700-00058 / United States of America Related Case: 19542 Old Client Code: HERR Patent: GRID FLOOR	Pending HERRERO & ASOCIADOS	10	15-Mar-2002	МН	
27-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Aljowance Related Old Client	ns) 100788-00051 / United States of America Related Case: 19190 Old Client Code: SAIC Patent: IMPROVED METHOD FOR T	100788-00051 / Pending United States of America DRAGOTTI & ASSOCIATI d Case: 19190 t Code: SAIC Patent: IMPROVED METHOD FOR THE PRODUCTION OF SLABS OF CERAMIC MATERIAL	1 (CONTRACTOR OF THE STATE OF T	18-Jan-2002	HW DD	`
28-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED Unit Related Case: 2703 Old Client Code: FBR Patent: GAM	FILED 031035-00060 / United States of America Related Case: 2703 I Client Code: FBR Patent: GAMING MACHINE	Pending F.B. RICE & CO.	60	10-May-1999	НW	

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P 89	Attys	H G	HM	HW	HW DD	HM	
	App Date Iss Date	02-May-2001	14-Jul-2000	20-Oct-2000	28-Jul-2003	28-Aug-2003	
	App Number Pat Number	60	09 ION SERVICE	09/	LOW MANAGEMEN		
DugaBate ErstaBy Dytes 2003 - Tok 2000 2003	Status Client	Pending F.B. RICE & CO.	032878-00062 / Pending United States of America FIRST LAW OFFICES OF KOREA d Case: 3047 t Code: FLK Patent: METHOD AND APPARATUS FOR WEB INFORMATION EXTRACTION SERVICE	032878-87706 / Pending United States of America FIRST LAW OFFICES OF KOREA d Case: 3105 t Code: FLK Patent: METHOD AND APPARATUS FOR OBTAINING A HIGH RESOLUTION IMAGE	056730-00068 / Pending United States of America NAOMI ASSIA LAW OFFICES d Case: 20548 If Code: ASSIA Patent: A SYSTEM ARCHITECTURE AND A MWTHOD FOR CUSTOMER FLOW MANAGEMENT FILED OF THE INVENTION	Pending E.Z.E. MACHINE COMPANY AG'	
Patonti Bucha modelen	Client-Matter/SubCase Country	031035-87567 / United States of America Related Case; 3193 Old Client Code: FBR Patent: IMPROVED CARD GAME	032878-00062 / United States of America Related Case: 3047 Old Client Code: FLK Patent: METHOD AND APPARATU	032878-87706 / United States of America Related Case: 3105 Old Client Code: FLK Patent: METHOD AND APPARATU	mt 056730-00068 / United States of America Related Case: 20548 Old Client Code: ASSIA Patent: A SYSTEM ARCHITECTURI THE INVENTION	CARD 100456-00052 / ARD United States of America Related Case: 18560 Old Client Code: EZE Patent: DISPOSABLE GARBAGE BAG	
Der 26,2003	Action Due Action Type	Issue Fee Due US-Allowance Relate Old Clie	1 Month to Due Date US-3 Month Office Action Relate		Information Disclosure Strmt Relate Old Clien	FOLLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD Relate Old Clien	
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Due Date Indicator	Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys	
28-Oct-2003 Reminder	1013 US-3 Month Office Action Related Case: 3268	101353-00050 / United States of America ase: 3268	Pending JAMES J. ENRIGHT	10	13-Nov-2002	HW DD	,
	Otto Cuteri Coue; ENKA Patent; A PRO	ent: A PROCESS FOR OPTIMAL E	s Coues: Enra Patent: A PROCESS FOR OPTIMAL ECONOMIC EFFICIENCY IN POSTAL OPERATIONS	OPERATIONS			
29-0k 03 Due Date	3 Month Due Date US-3 Month Office Action Related Case: 3216 Old Client Code: FBR	031035-87572 / United States of America ase: 3216	Pending F.B. RICE & CO.	60	21-Jun-2001	HW	
	Pate	IING MACHINE	WITH PUZZLE FEATURE				
29-Oct-2003 Due Date	Information Dis Follow Up Date 03287 Information Disclosure Strant United Related Case: 20381 Old Client Code: FLK Patent: MULT	032878-00057 / United States of America use: 20381 de: FLK ent: MULTIPLEX ANODE MATRE THEREFOR	032878-00057 / Pending 10 United States of America FIRST LAW OFFICES OF KOREA ### Code: FLK Patent: MULTIPLEX ANODE MATRIX VACUUM FLUORESCENT DISPLAY AND THE DRIVING DEVICE THEREFOR	10 THE DRIVING	29-Apr-2003 DEVICE	WH	
29-Oct-2003 Due Date	MISSING PARTS DUE 10072 US-Missing Parts	100720-00056 / Pending United States of America OY HEINA ase: 20462 ode: HEIN ent: ELECTROMECHANICAL TRANSDUCER ELECTROMECHANICAL TRANSDUCER	100720-00056 / Pending United States of America OY HEINANEN AB d Case: 20462 t Code: HEIN Patent: ELECTROMECHANICAL TRANSDUCER AND METHOD FOR MANUFACTURING AND ELECTROMECHANICAL TRANSDUCER	10/ TUFACTURING AND	18-Jun-2003	HW EM	· · · · · · · · · · · · · · · · · · ·
29-Oct-2003 Due Date	Information Dis Follow Up Date 10078; Information Disclosure Strmt United Related Case: 20366 Old Client Code: SAIC Patent: GARM	100788-00059 / Pending United States of America DRAGOTTI & ASSOCIATI d Case: 20366 It Code: SAIC Patent: GARMENT WITH PROTECTED DEFORMABLE INSERTS	Pending DRAGOTTI & ASSOCIATI D DEFORMABLE INSERTS	/01	29-Apr-2003	МН	
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Due Date Action Due Indicator Action Type 29-Oct-2003 RCE-Follow Up Due Date RCE- Follow Up	Client-Matter/SubCase Country 100809-16122 / United States of America Related Case: 16549 Old Client Code: SCEI Patent: DATA GENERATION DEVICI RECEPTION METHOD, AND METHOD	Sony Computer Entertainment Inc.	App Number Pat Number		
RCE-Follow Up RCE- Follow Up		Pending Sony Computer Entertainment Inc.		App Date Iss Date	Attys
		DATA GENERATION DEVICE AND DATA GENERATION METHOD, DATA RECEIVER AND DATA RECEPTION METHOD, AND DATA COMMUNICATION SYSTEM AND DATA COMMUNICATION METHOD	09 DATA RECEIVER AAND DATA COMMUN	28-Oct-1999 AND DATA VICATION	MH
29-Oct-2003 1 Month to Due Date Reminder US-3 Month Office Action	100809-16276 / Pending United States of America Sony Computer Entertainment Inc. Related Case: 19027 Old Client Code: SCEY Patent: METHOD RELATED TO OBJECT CONTROL OF VIDEO GAME	Pending Sony Computer Entertainment Inc. ECT CONTROL OF VIDEO GAME	60	02-Oct-2001	МН
29-Oct-2003 Information Disclosure Strmt Due Date Ol	trimt 330906-00019 / Pending United States of America TITAN TOOL, INC. Related Case: 20668 Old Client Code: TITN Patent: PISTON PUMP FOR HIGH VISCOSITY MATERIALS	Pending TITAN TOOL, INC. ISCOSITY MATERIALS	10/	29-Jul-2003	нм
30-Oct-2003 MISSING PARTS Reminder US-Missing Parts 0	031035-00059 / Pending United States of America F.B. RICE & CO. Related Case: 20478 Old Client Code: FBR Patent: ALUMINIUM PROCESSING APPARATUS AND PROCES MIXTURE OF ALUMINIUM AND ALUMINIUM DROSS	031035-00059 / Pending 10/ United States of America F.B. RICE & CO. 4 Case: 20478 4 Code: FBR Patent: ALUMINIUM PROCESSING APPARATUS AND PROCESS FOR SEPARATING ALUMINIUM FROM A MIXTURE OF ALUMINIUM AND ALUMINIUM DROSS	10/ TOWN TOWN THE PARATING ALUMINI	02-Jul-2003 UM FROM A	HW EM
30-Oct-2003 3 Month Due Date Due Date US-3 Month Office Action	053735-87549 / Pending United States of America MEINKE, DABRI Related Case: 2814 Old Client Code: MEIN Patent: METHOD OF PRODUCING A METAL SECTION	Pending MEINKE, DABRINGHAUS UND PARTNER GBR METAL SECTION	/60	12-Jun-1998	МН

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number	App Date	Attys
30-Oct-2003 Due Date	Application Status Check United Related Case: 18628 Old Client Code: KLEN Patent:METH	100661-00053 / United States of America e: 18628 e: KLEN tr: METHOD OF USING COMPU	100661-00053 / Pending 10 10 10 10 10 10 10 10 10 10 10 10 10	10 NO STAND CAST O	30-Apr-2002 R MODEL	МН
30-Ock 93 Due Date	3 Month Due Date US-3 Month Office Action Related Case: 16983 Old Client Code: SCEI	100809-16089 / United States of America d Case: 16983 rt Code: SCEI Patent: OPTICAL RECORDING MED	-16089 / Pending 09 States of America Sony Computer Entertainment Inc. AL RECORDING MEDIUM AND ENTERTAINMENT SYSTEM THAT EMPLOYS IT	09 09 09 09 09 09 09 09 09 09 09 09 09 0	17-Feb-2000	MH M
30-Oct-2003 Final	INVITATION TO CORRECT DEFECTS 31028; Patent Related Case: 19876 Old Cilent Code: SHPR Patent: MOLD	CTS 310283-00050 / Patent Cooperation Treaty d Case: 19876 t Code: SHPR Patent: MOLDED HEADGEAR	Pending MR. BRYANT SHAPIRO	PCTUS03/25217	13-Aug-2003	HW DD

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(Calendar) Via Email)	Group by Related Case: □	From Date: 01-Oct-2003 T. Client: At. Action Type: At. Indicator: Country: Country:
 by Due Date by Due Date (Calendar) by Attorney by Attorney by Attorney 	O by Client	

Sunday, October 26, 2003

Client-Matter: 031035-00051

Client: F.B. RICE & CO.

Case Type: PCT

Application Number: 10/

Publication Number:

Patent Number:

Parent/PCT Number: PCTAU00/01192

Parent Issue Number:

Agent:

Client Code: FBR

Agent Reference No.:

Country: US

SubCase:

United States of America

Application Status: Pending

Filing Date: 01-Apr-2002

Publication Date:

Issue Date:

Parent/PCT Date: 29-Sep-2000

Parent Issue Date:

Expiration Date:

PTA: 0

Tax Schedule: LE

Confirmation #:

Related Case: 3318

List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	01-Jun-2002	Due Date	
Information Disclosure Stmnt	01-Jul-2002	Due Date	
Missing Requirements/Warn	13-Dec-2002	Reminder	24-Dec-2002
Missing Requirement/Due	13-Jan-2003	Final	24-Dec-2002
Missing Require Follow Up Date	24-Jun-2003	Due Date	
Application Status Check	01-Oct-2003	Due Date	

User ID: SSEONARA

Date Created: 22-Apr-2002

Last Update: 20-Nov-2002

Sunday, October 26, 2003

Client-Matter: 031035-00052

Client: F.B. RICE & CO.

Case Type: PCT

Application Number: 10/089,762

Publication Number:

Patent Number:

Parent/PCT Number: PCTAU00/01191

Parent Issue Number:

Agent:

Agent Reference No.:

Client Code: FBR

Country: US

SubCase:

. . United States of America

Application Status: Pending

Filing Date: 01-Apr-2002

Publication Date:

Issue Date:

Parent/PCT Date: 29-Sep-2000

Parent Issue Date:

Expiration Date:

PTA: 0

Tax Schedule: LE

Confirmation #:

Related Case: 3320

List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	01-Jun-2002	Due Date	-
Information Disclosure Stmnt	01-Jul-2002	Due Date	
Missing Requirements/Warn	21-Jul-2002	Reminder	17-Jul-2002
Missing Requirement/Due	21-Aug-2002	Final	17-Jul-2002
Missing Require Follow Up Date	17-Jan-2003	Due Date	
Application Status Check	01-Oct-2003	Due Date	

User ID: SSEONARA

Date Created: 15-Jul-2002

Last Update: 15-Jul-2002

Sunday, October 26, 2003

Client-Matter: 031035-87549

Client: F.B. RICE & CO.

Case Type: PCT

Application Number: 094

Publication Number:

Patent Number:

Parent/PCT Number: PCTAU98/00072

Parent Issue Number:

Agent:

Agent Reference No.:

Client Code: FBR

Country: US

SubCase:

United States of America

Application Status: Pending

Filing Date: 06-Aug-1999

Publication Date:

Issue Date:

Parent/PCT Date: 10-Feb-1998

Parent Issue Date:

Expiration Date:

PTA: 0

Tax Schedule: LE

Confirmation #:

Related Case: 2741

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Sunday, October 26, 2003

List Of Actions			
Action(s) Due	Due Date	·	Action Taken
FOLLLOW UP ON POSTCARD	06-Oct-1999	Due Date	
Information Disclosure Stmnt	06-Nov-1999	Due Date	
Application Status Check	06-Feb-2001	Due Date	18-Dec-2002
Did You Report O/A To Client	18-Jan-2003	Due Date	18-Jun-2003
1 Month to Due Date	18-Feb-2003	Reminder	18-Jun-2003
3 Month Due Date	18-Mar-2003	Due Date	18-Jun-2003
1st Extension	18-Арг-2003	Reminder	18-Jun-2003
2nd Extension	18-May-2003	Reminder	18-Jun-2003
2 Weeks to Final Date	02-Jun-2003	Due Date	18-Jun-2003
6 Month Final	18-Jun-2003	Final	18-Jun-2003
Application Sta Follow Up Date	18-Jun-2003	Due Date	18-Dec-2002
Did You Report O/A To Client	01-Oct-2003	Due Date	
1 Month to Due Date	26-Oct-2003	Reminder	
3 Month Due Date	26-Nov-2003	Due Date	
PTO must resp	18-Dec-2003	Due Date	26-Aug-2003
1st Extension	26-Dec-2003	Reminder	
2nd Extension	26-Jan-2004	Reminder	
2 Weeks to Final Date	10-Feb-2004	Due Date	
6 Month Final Date	26-Feb-2004	Final	
Notice of Appeal Due	26-Feb-2004	Final	
7th Months-Is Brief Needed	26-Mar-2004	Due Date	
 			

User ID: SSEONARA

Date Created: 23-Dec-2002

Last Update: 23-Dec-2002



Sunday, October 26, 2003

Client-Matter: 031035-87577

Client: F.B. RICE & CO.

Case Type: ORD

Application Number: 10

Publication Number:

Patent Number:

Parent/PCT Number: PR1882

Parent Issue Number:

Agent:

Agent Reference No.:

Client Code: FBR

Country: US

SubCase:

United States of America

Application Status: Pending

Filing Date: 04-Dec-2001

Publication Date:

Issue Date:

Parent/PCT Date: 04-Dec-2000

Parent Issue Date:

Expiration Date:

PTA: 0

Tax Schedule: LE

Confirmation #:

Related Case: 3265

List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	04-Feb-2002	Due Date	
Information Disclosure Stmnt	04-Mar-2002	Due Date	
Application Status Check	04-Jun-2003	Due Date	27-Aug-2003
Did You Report O/A To Client	01-Oct-2003	Due Date	
1 Month to Due Date	27-Oct-2003	Reminder	
3 Month Due Date	27-Nov-2003	Due Date	
1st Extension	27-Dec-2003	Reminder	
2nd Extension	27-Jan-2004	Reminder	· · · · · · · · · · · · · · · · · · ·
2 Weeks to Final Date	11-Feb-2004	Due Date	
6 Month Final	27-Feb-2004	Final	

User ID: SSEONARA

Date Created: 18-Jan-2002

Last Update: 21-Feb-2002

Sunday, October 26, 2003

Client-Matter: 053735-87545

Country: US

SubCase:

Client: MEINKE, DABRINGHAUS UND PARTNE United States of America

Case Type: ORD

Application Status: Pending

Filing Date: 12-Oct-1999

Application Number: 09 **Publication Number:**

Issue Date:

Parent/PCT Number:

Patent Number:

Publication Date:

Parent/PCT Date: 28-Oct-1998

Parent Issue Number:

Parent Issue Date:

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: MEIN

Tax Schedule: LE

Confirmation #:

Related Case: 2754

REDACTED



Sunday, October 26, 2003

List Of Actions			
Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	12-Dec-1999	Due Date	
Information Disclosure Stmnt	12-Jan-2000	Due Date	
Application Status Check	12-Apr-2001	Due Date	27-Jun-2002
Did You Report O/A To Client	27-Jul-2002	Due Date	27-Nov-2002
1 Month to Due Date	27-Aug-2002	Reminder	27-Nov-2002
3 Month Due Date	27-Sep-2002	Due Date	27-Nov-2002
1st Extension	27-Oct-2002	Reminder	27-Nov-2002
2nd Extension	27-Nov-2002	Reminder	27-Nov-2002
2 Weeks to Final Date	12-Dec-2002	Due Date	27-Nov-2002
6 Month Final	27-Dec-2002	Final	27-Nov-2002
Application Sta Follow Up Date	27-Dec-2002	Due Date	27-Jun-2002
Did You Report O/A To Client	01-Apr-2003	Due Date	24-Jun-2003
1 Month to Due Date	24-Арг-2003	Reminder	24-Jun-2003
3 Month Due Date	24-May-2003	Due Date	24-Jun-2003
PTO must resp	27-May-2003	Due Date	24-Feb-2003
1st Extension	24-Jun-2003	Reminder	24-Jun-2003
2nd Extension	24-Jul-2003	Reminder	24-Jun-2003
2 Weeks to Final Date	08-Aug-2003	Due Date	24-Jun-2003
6 Month Final	24-Aug-2003	Final	24-Jun-2003
Did You Report O/A To Client	02-Oct-2003	Due Date	
1 Month to Due Date	02-Nov-2003	Reminder	
3 Month Due Date	02-Dec-2003	Due Date	
PTO must resp	24-Dec-2003	Due Date	02-Sep-2003
1st Extension	02-Jan-2004	Reminder	
2nd Extension	02-Feb-2004	Reminder	
2 Weeks to Final Date	17-Feb-2004	Due Date	
6 Month Final Date	02-Mar-2004	Final	
Notice of Appeal Due	02-Mar-2004	Final	
7th Months-Is Brief Needed	02-Apr-2004	Due Date	

User ID: SSEONARA

Date Created: 04-Mar-2002

Last Update: 04-Mar-2002



Tuesday, November 25, 2003

Client-Matter: 031035-87558

Client: F.B. RICE & CO.

Case Type: PCT

Application Number: 09/720,570

Publication Number:

Patent Number:

Parent/PCT Number: PCTAU99/00511

Parent Issue Number:

Agent:

Client Code: FBR

Agent Reference No.:

Country: US

SubCase:

United States of America

Application Status: Transfered

Filing Date: 21-Dec-2000

Publication Date:

Issue Date:

Parent/PCT Date: 24-Jun-1999

Parent Issue Date:

Expiration Date:

PTA: 0

I IA.

Tax Schedule: LE Confirmation #:

Related Case: 3128

List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	21-Feb-2001	Due Date	-
Information Disclosure Stmnt	21-Mar-2001	Due Date	
Application Status Check	21-Jun-2002	Due Date	
7 Day To Due Date	01-Aug-2003	Reminder	
PETITION TO REVIVE DUE	08-Aug-2003	Final	-

User ID: SSEONARA

Date Created: 27-Jun-2003

Last Update: 09-Oct-2003







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Label 11-B June 2002

ORIGIN (POSTAL USE O	ONLY)			DELIVERY (POST	AL USE ONLY)		
PO ZIP Code	Day of Delivery	Flat Rate Envelope		Delivery Attempt	Time	Employee Signature	
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Date: December <u>23</u>, 2003 Applicant: Curtis

Serial No: 09/720,570

Title: Virtual Eprom Simulator Apparatus

RECEIPT IS HEREBY ACKNOWLEDGED FOR:

- 1. Renewed Petition Under 37 CFR § 1.137(b);
- 2. Petition for Extension of Time (2 copies);
- 3. Previously Submitted Evidence;
- 4. Decl of Shahan Islam (Exs. A-G);
- 5. Decl of Cheryl Bajana; (Exs. A-C);
- 6. Decl of Samson Helfgott; (Exs. A-B);
- 7. Decl of Satnarine Seonarain (Exs. A-D);
- 8. Check No. 44675 (\$110)

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FORM PTÓ-1696 OMB No. 0651-0011 (exp. 4/94)

1. Name of conveying party(les):

3. Naturé of conveyance:

Execution Date: June 22, 23, 1998

4. Application number(s) or patent number(s):

5. Name and address of party to whom correspondence

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Additional name(s) of conveying party(ies) attached? ☐ Yes 20 No

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lame of conveying party(les): Keith E. Curtis Eugene T. Bond	2. Name and address of receiving party(ies) Name: NuGame, Inc.
lonal name(s) of corrveying party(les) attached? 디 Yes 건 No	The state of the s
lature of conveyance:	
Assignment Merger	Street Address: 2680 Chandler Ave. #5
Security Agreement Change of Name	
Other	City: Las Vegas State: NV ZIPB9120
ocution Date:	Additional name(s) & address(es) attached? ☐ Yes © No
Application number(s) or patent number(s):	
If this document is being filed together with a new application	on, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
Additional numbers	attached? Q Yes Q No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Shahan Islam, Esq.	7. Total fee (37 CFR 3.41)\$ 40.00
Internal Address: Friedman Siegelbaum LL1	□ Enclosed
	Authorized to be charged to deposit account
Street Address: Seven Becker Farm Road	8. Deposit account number:
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WHEREAS, WE KIND E CHOICE SHOOM T. Rond , ASSIGNORS & citizen of
USA residing at 139 Part Ridge Lo. Hancomon. NV 29015 6329 Lone King Ave. Las Vagas. NV 20120
County are the inventors of the invention in Vinual FPROM Simulature Apparatus
for which we have executed an application for a Penter of the United Street; and
which is executed on X even date herewith or 🗇
Which is identified by Friedman Singuineum Docker No. 2597/791
which was filed on Scrie: No
WHEREAS, Nucleans line. ASSIGNED is desirous of
obtaining the entire right, title and interest in, to and under the said invention and the mid application
NOW, THERPORE, in consideration of the sum of One Doller (\$1.00) to in hand paid, and other good sod valuable consideration, the receipt of which as hereby acknowledged, we, the said ASSIGNORS, have told, unequed, transferred and out over, and by dress presents do membry sell, susign, transfer and and over, unto the said ASSIGNORS, have told, unequed, transferred representatives and assigns, the unite right, title and interest in, to and under the said invention, and the said United States applications and all divisions, remained and continuations thereof, and all patients of the United States which may be greated thereon and all response and consistents thereof, and all applications for advantal property protection, including, without limitedon, all applications for passents, untilly models, and designs which may be applications and the right to claim for the same the priestry rights derived from said United States application under the Passet Laws of the United States, the intermedical Convention for the Protection of Industrial Property, or any other intermedical agreement or the demonstic laws of the country is which any such application is died, as may be applicable, and all farms of industrial property protection, including, without limitation, passets, utility models, investors' cartificates and designs which may be greated for said invention in any country or countries fereign to the United States and all entensions, renewals and reissues thortof;
AND WE RELECT authorize and request the Commissioner of Pessets and Trademerts of the United Seam, and any Official of any country or commission as the United Seam, whose duty is to troud passens or other evidence or forms of industrial property promotion on applications as aforested, to have the same to the said ASSIGNEE, its successors, legal representatives and applications with the terms of this instrument.
AND WE REBERY coverage and agree that we have full right to convey the entire interest herein assigned, and that we have not according or will not execute, any agreement in conflict herewish.
AND WE HEREBY further coverages and agree that we will communicate to the said ASSIGNES, in concessors, legal representatives and assigns, any them known to us respecting said investors, and testify to any legal proceeding, right all (awaid papers, encoure all divisional, continuing, retirent and foreign applications, makes all rightful outta, and generally do everything passible to said the raid ASSIGNES, its successors, legal representatives and assigns, to obtain and enforce proper protection for said investors in all countries.
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